

## **AMERICAN RED CROSS BOARD OF GOVERNORS CONFLICT OF INTEREST QUESTIONNAIRE**

The American Red Cross conducts its business with the highest degree of ethical standards. Members of the Board of Governors are expected to model ethical behavior in their leadership and governance of the Red Cross. This Conflict of Interest Questionnaire is designed to elicit information from Board members of the American Red Cross to assure they are acting in the best interest of the Red Cross and to identify any of conflicts of interest. A conflict of interest may occur where a volunteer or employee has a financial, business or personal interest that is in conflict or appears to be in conflict with the interest of the American Red Cross.

The Board has approved the following structured Conflict of Interest process for Board Members: Conflicts of interest must be reported immediately; disclosure of conflicts of interest is an essential and ongoing obligation for Board members in the course of their duties. If a conflict of interest is identified, it should be discussed with the General Counsel to identify the proper course of remedial action. These actions may range from recusal in any business transactions involving the Red Cross or the other interested organization to withdrawing from involvement in the other organization's business or board of directors to other actions that assure the conflict of interest or even the appearance of a conflict of interest may be avoided.

This Questionnaire is to assist Board members in identifying any possible conflicts. Once completed, please sign and date the Questionnaire and send a hard copy to Mary S. Elcano, General Counsel and Corporate Secretary, at the address on page five. If you have any questions or require clarification, please feel free to contact Ms. Elcano at 202.303.5422 or by email at [elcanom@usa.redcross.org](mailto:elcanom@usa.redcross.org). This Questionnaire is to be completed annually. However, you are also requested to disclose any changes in this information or to raise any questions regarding your conflict of interest status throughout the year.

In completing this Questionnaire, please note that the term "Red Cross" means and includes the American National Red Cross, National Headquarters and Biomedical Headquarters units, and the field chartered units. The term "immediate family members" means and includes a person's spouse, parents, children (including adopted), siblings, mothers and fathers-in-law, sons and daughters-in-law, brothers and sisters-in-law, grandparents, spouses of siblings or children and anyone (other than domestic employees) who shares such person's home.

**1. Employment by the Red Cross**

Were you previously employed by the Red Cross? Do you have an immediate family member who is now employed by the Red Cross?

Yes       No

If yes, please identify the person, position and date of such employment by the Red Cross.

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**2. Personal Remuneration from the Red Cross**

Are you or any of your immediate family members receiving or expecting to receive any remuneration from the Red Cross other than employment compensation?

Yes       No

If yes, please identify the person, position, amount and date the remuneration is expected to be received.

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**3. Business with the Red Cross**

Do you have a financial or business interest in a business or organization with which the Red Cross does business or received payments for property, goods, or services?

Yes       No

Have you or an immediate family member been a party to or involved in a contractual transaction with the Red Cross?

Yes       No

Do you have an immediate family member who has a financial or business interest in a business or organization with which the Red Cross does business or received payments for property, goods, or services?

Yes       No

If yes, please identify: (a) the financial, business, contractual or organizational interest, (b) the relationship between the Red Cross and the other business, (c) if an immediate

family member has the interest, identify the relationship of the person to you, and (c) the impact on the interest of the Red Cross or the other business.

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- 4. Please list your current employer; if less than three years, please list your employer for the past three years.**

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- 5. Please list all corporations, organizations, or institutions on whose board of directors, board of trustees, other governing bodies, or advisory boards you currently serve. Please also identify any of those that do business with the Red Cross.**

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- 6. Is an employee of the Red Cross or member of the Red Cross Board of Governors also an employee of a board or directors, trustees, advisors or governing bodies listed in Question 5?**

Yes       No

If yes, please identify the Red Cross employee or board member.

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**7. Association with Red Cross Auditors**

The auditor for the Red Cross is currently KPMG LLP. Identify any immediate family members who are partners or principals in KPMG LLP? or

Identify any immediate family members who are employees of KPMG who participate in the audit, assurance, or tax compliance practice for the Red Cross? or

Identify any immediate family members who are employees of the U.S. Army Audit Agency?

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## 8. Legal Proceedings

Are there any legal proceedings adverse to the Red Cross pending or anticipated in which you, an immediate family member, or any entity listed by you in response to the above questions are a party?

Yes       No

If yes, please list them below.

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During the past five years have you been convicted in a criminal proceeding or are you now the named subject of a pending criminal proceeding (excluding infrequent traffic violations)?

Yes       No

If yes, please provide the details below.

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## 9. Other

Is there anything that has not been asked in this Questionnaire that you believe the Red Cross should know, e.g. previously employed by a competitor of Red Cross or previously on the Board of a competitor? If yes, please provide the details below.

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**Date** \_\_\_\_\_

**Signature** \_\_\_\_\_

**Printed Name** \_\_\_\_\_

**Title** \_\_\_\_\_

**Phone** \_\_\_\_\_

**Email** \_\_\_\_\_